

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

APPLICANT: Lim et al.

GROUP: 2874

SERIAL NO: 09/996,462

EXAMINER: Unknown

FILED: 11/28/2001

FOR: THREE DIMENSIONAL HIGH TRANSMISSION CAVITY DEVICES FOR  
COMPACT HIGH INDEX CONTRAST BENDS SPLITTERS AND  
RESONATORS

Assistant Commissioner of Patents  
Washington, D.C. 20231  
Sir:

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ORIGINALLY FILED

REQUEST FOR CORRECTED FILING RECEIPT

1. Attached is a copy of the official filing receipt from the PTO in the above application for which issuance of a corrected filing receipt is respectfully requested.

2. There is an error with respect to the following data which is:

X incorrectly entered

and/or

\_\_\_\_\_ omitted

Error in

Correct data

1. \_\_\_\_\_ Applicant's name

1.

2. X Applicant's address

2. Kazumi Wada, Lexington, MA

3. \_\_\_\_\_ Title

3.

4. \_\_\_\_\_ Priority Information

4.

I hereby certify that this paper (along with any paper referred to as being attached or enclosed) is being deposited with the United States Postal Service on the date shown below with sufficient postage as first class mail in an envelope addressed to Commissioner of Patents and Trademarks, Washington, D.C. 20231.

*Emily C. Porell*  
Emily C. Porell

05/28/2002  
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3. (complete the following applicable item A or B)

A. X The correction(s) is/are not due to any error by applicant and no fee is due.

OR

B.    At least one of the above corrections is due to applicant's error and the fee therefor under 37 CFR 1.19(h) of \$25.00 is paid as follows:

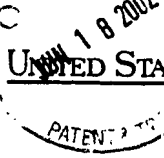
   Enclosed is check for \$25.00

   Charge Account 19-0079 \$25.00.

Respectfully submitted,



Matthew E. Connors  
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225 Franklin Street  
Boston, Massachusetts 02110  
Telephone: (617) 426-9180  
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APPLICATION NUMBER	FILING DATE	GRP ART UNIT	FIL FEE REC'D	ATTY. DOCKET NO.	DRAWINGS	TOT CLAIMS	IND CLAIMS
09/996,462	11/28/2001	2874	561	MIT9066	2	34	3

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CONFIRMATION NO. 2424

Samuels, Gauthier & Stevens LLP  
Suite 3300  
225 Franklin Street  
Boston, MA 02110

MAY 23 2002

SAMUELS, GAUTHIER &  
STEVENS LLP

UPDATED FILING RECEIPT



\*OC000000008141217\*

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Receipt is acknowledged of this nonprovisional Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please write to the Office of Initial Patent Examination's Filing Receipt Corrections, facsimile number 703-746-9195. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the USPTO processes the reply to the Notice, the USPTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).

## Applicant(s)

Desmond R. Lim, Cambridge, MA;  
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Herman Haus, Lexington, MA;  
Lionel C. Kimerling, Concord, MA;

Lexington

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## Domestic Priority data as claimed by applicant

THIS APPLN CLAIMS BENEFIT OF 60/253,604 11/28/2000

## Foreign Applications

If Required, Foreign Filing License Granted 12/18/2001

Projected Publication Date: 08/22/2002

Non-Publication Request: No

Early Publication Request: No

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**Preliminary Class**

385

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Bib Data Sheet

CONFIRMATION NO. 2424

<b>SERIAL NUMBER</b> 09/996,462	<b>FILING DATE</b> 11/28/2001 <b>RULE</b>	<b>CLASS</b> 385	<b>GROUP ART UNIT</b> 2874	<b>ATTORNEY DOCKET NO.</b> MIT9066
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## APPLICANTS

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Kevin K. Lee, Cambridge, MA;  
Kazumi Wada, Lexington, MA;  
Herman Haus, Lexington, MA;  
Lionel C. Kimerling, Concord, MA;

## \*\* CONTINUING DATA \*\*\*\*\*

THIS APPLN CLAIMS BENEFIT OF 60/253,604 11/28/2000 *ml*

## \*\* FOREIGN APPLICATIONS \*\*\*\*\*

*None ml*

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\*\* 12/18/2001

Foreign Priority claimed <input type="checkbox"/> yes <input checked="" type="checkbox"/> no	STATE OR COUNTRY MA	SHEETS DRAWING 2	TOTAL CLAIMS 34	INDEPENDENT CLAIMS 3
35 USC 119 (a-d) conditions met <input type="checkbox"/> yes <input checked="" type="checkbox"/> no <input type="checkbox"/> Met after Allowance Verified and Acknowledged <i>[Signature]</i> Examiner's Signature Initials				

## ADDRESS

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## TITLE

Three dimensional high index optical waveguides bends and splitters

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